



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:  
Serial No.:  
Filed:  
Due Date:  
Title:

RICHARDS ET AL.  
09/776,609  
FEBRUARY 2, 2001  
MAY 13, 2001  
FILTER PROXY SYSTEM AND METHOD

Examiner: UNKNOWN  
Group Art Unit: 2152  
Docket: 13095.11USU1

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Box MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231, on May 14, 2001.

By:

Box MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- Transmittal Sheet in duplicate containing Certificate of Mailing
- Signed Combined Declaration and Power of Attorney
- Check(s) in the amount of \$915.00 for basic filing fee (\$355.00); additional claims fee (\$495.00); and surcharge (\$65.00)
- Small Entity Status is claimed pursuant to 37 CFR 1.27
- Notice to File Missing Parts of Nonprovisional Application - Part 2
- Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
612.332.5300

By:   
Name: Min S. Xu  
Reg. No.: 39,536  
AXu:PSTmcP





Sector #3  
~~\$~~

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/776,609	02/02/2001	Kenneth W. Richards	13095.11USU1

## CONFIRMATION NO. 4341

23552  
MERCHANT & GOULD  
P O BOX 2903  
MINNEAPOLIS, MN 55402-0903

## FORMALITIES LETTER



\*OC000000005853641\*

Date Mailed: 03/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

\*355

- The statutory basic filing fee is missing.  
*Applicant must submit \$740 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$990. \*495  
*1495*    ~~\$990~~ for 55 total claims over 20.
  - The oath or declaration is unsigned.
  - To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$1830.  
*165*    ~~\$1830~~ \*915

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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00 00 ACT	06 FC:203			65.00	OP
00 00 ACT	03 FC:203			495.00	OP

*A copy of this notice **MUST** be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE